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11-12-02

PATENT

Attorney Docket No.: SONY-15700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard A. Bardini et al.

Serial No.: 09/920,660

Filed: August 1, 2001

For: **APPARATUS FOR AND METHOD  
OF INVALIDATING OR  
DELETING DIGITAL CONTENT  
AFTER IT EXPIRES BY  
COMPARING THE EMBEDDED  
TIME WITH A GLOBAL TIME**

) Group Art Unit: 2651

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

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**NOV 04 2002**

**Technology Center 2600**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement along with a Certification for Information Disclosure Statement and a Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: October 29, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

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Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 10-29-02 By: J. Owens



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) Art Unit: 2651

) Examiner:

) **CERTIFICATION FOR INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR § 1.97(e)**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100 Technology Center 2600

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached five (5) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on September 25, 2002. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

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**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: October 29, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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- 2 -

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Date: 10.29.02 By: J. Owens



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) Group Art Unit: 2651

) Examiner:

) **INFORMATION DISCLOSURE**  
) **STATEMENT**

) 162 North Wolfe Road  
) Sunnyvale, California 94086  
) (408)530-9700

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

HAVERSTOCK & OWENS LLP,

Dated: 10.29.02 By: JGACARNO

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,172,989 B1;
- U.S. Patent No. 6,226,241 B1;
- U.S. Patent No. 6,233,637 B1;
- U.S. Patent No. 6,247,069 B1; and
- U.S. Patent No. 6,393,578 B1;

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: October 29, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

FORM PTO-1449  
(Modified)

NOV 01 2002

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-15700

Serial No.: 09/920,660

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Richard A. Bardini et al.

Filing Date: 8/1/01

Group Art Unit: 2651

**U.S. PATENT DOCUMENTS**

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	6,172,989 B1	1/9/01	Yanagihara et al.	370	473	10/20/97
	AB	6,226,241 B1	5/1/01	D'Amato et al.	369	47.15	5/16/00
	AC	6,233,637 B1	5/15/01	Smyers et al.	710	129	3/7/96
	AD	6,247,069 B1	6/12/01	Smyers	710	8	5/12/99
	AE	6,393,578 B1	5/21/02	Swidler et al.	713	600	12/8/99
	AF						
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	AI						
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**FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS**

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

	AP	
	AQ	
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	AY	
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Examiner:

Date Considered:

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.